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# **Optical and geometrical properties of cirrus clouds in Amazonia derived from 1 year of ground-based lidar measurements**

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Abstract. Cirrus clouds cover a large fraction of tropical latitudes and play an important role in Earth's radiation budget. Their optical properties, altitude, vertical and horizontal coverage control their radiative forcing, and hence detailed cirrus measurements at different geographical locations are of utmost importance. Studies reporting cirrus properties over tropical rain forests like the Amazon, however, are scarce. Studies with satellite profilers do not give information on the diurnal cycle, and the satellite imagers do not report on the cloud vertical structure. At the same time, ground-based lidar studies are restricted to a few case studies. In this paper, we derive the first comprehensive statistics of optical and geometrical properties of upper-tropospheric cirrus clouds in Amazonia. We used 1 year (July 2011 to June 2012) of ground-based lidar atmospheric observations north of Manaus, Brazil. This dataset was processed by an automatic cloud detection and optical properties retrieval algorithm. Uppertropospheric cirrus clouds were observed more frequently than reported previously for tropical regions. The frequency of occurrence was found to be as high as 88 % during the wet season and not lower than 50 % during the dry season. The diurnal cycle shows a minimum around local noon and maximum during late afternoon, associated with the diurnal cycle of precipitation. The mean values of cirrus cloud top and base heights, cloud thickness, and cloud optical depth were  $14.3 \pm 1.9$  (SD) km,  $12.9 \pm 2.2$  km,  $1.4 \pm 1.1$  km, and  $0.25 \pm 0.46$ , respectively. Cirrus clouds were found at temperatures down to -90 °C. Frequently cirrus were observed

within the tropical tropopause layer (TTL), which are likely associated to slow mesoscale uplifting or to the remnants of overshooting convection. The vertical distribution was not uniform, and thin and subvisible cirrus occurred more frequently closer to the tropopause. The mean lidar ratio was  $23.3 \pm 8.0$  sr. However, for subvisible cirrus clouds a bimodal distribution with a secondary peak at about 44 sr was found suggesting a mixed composition. A dependence of the lidar ratio with cloud temperature (altitude) was not found, indicating that the clouds are vertically well mixed. The frequency of occurrence of cirrus clouds classified as subvisible ( $\tau < 0.03$ ) were 41.6 %, whilst 37.8 % were thin cirrus  $(0.03 < \tau < 0.3)$  and 20.5 % opaque cirrus ( $\tau > 0.3$ ). Hence, in central Amazonia not only a high frequency of cirrus clouds occurs, but also a large fraction of subvisible cirrus clouds. This high frequency of subvisible cirrus clouds may contaminate aerosol optical depth measured by sun photometers and satellite sensors to an unknown extent.

### 1 Introduction

Clouds cover on average about 50% of the Earth's surface (Mace et al., 2007) and cirrus alone cover 16.7% (Sassen et al., 2008), with higher fractions occurring in the tropics (Sassen et al., 2009). Hence cirrus are important to understand current climate and to predict future climate (Wylie et al., 2005; Stubenrauch et al., 2006; Nazaryan et al., 2008).

Several studies emphasize the important role that cirrus clouds play in the Earth's radiation budget (i.e., Liou, 1986; Lynch et al., 2002; Yang et al., 2010a; Campbell et al., 2016). Their role is twofold. First, cirrus clouds increase warming by trapping a portion of infrared radiation emitted by the Earth/atmosphere system. Second, cirrus clouds cool the atmosphere by reflecting part of the incoming solar radiation back into space. The contribution of each effect and the net effect on the radiative forcing depends strongly on cirrus cloud optical properties, altitude, vertical and horizontal coverage (Liou, 1986; Kienast-Sjögren et al., 2016). Therefore, understanding their properties is critical to determining their impact on planetary albedo and greenhouse effects (Barja and Antuña, 2011; Boucher et al., 2013). Also, tropical cirrus clouds could influence the vertical distribution of radiative heating in the tropical tropopause layer (e.g., Yang et al., 2010b; Lin et al., 2013). Noticeably, it has been shown that an accurate representation of cirrus vertical structure in cloud radiative studies improves the results of these calculations (Khvorostyanov and Sassen, 2002; Hogan and Kew, 2005; Barja and Antuña, 2011). Recent research also shows that an increase of stratospheric water vapor is linked mainly to the occurrence of cirrus clouds in the tropical tropopause layer (TTL) (Randel and Jensen, 2013). Finally, measurements of the properties of cirrus clouds at different geographical locations are of utmost importance, potentially allowing for improvements in numerical model parameterizations and, thus, reducing the uncertainties in climatic studies.

Ground-based lidars are an indispensable tool for monitoring cirrus clouds, particularly those cirrus clouds with very low optical depth, which are undetectable for cloud radars (Comstock et al., 2002) or for passive instruments (e.g., Ackerman et al., 2008). For this reason, several studies with ground-based lidars have reported the characteristics of cirrus clouds around the globe during the last decade. There are some long-term studies reporting climatologies at midlatitudes (e.g., Sassen and Campbell, 2001; Goldfarb et al., 2001; Giannakaki et al., 2007; Hoareau et al., 2013; Kienast-Sjögren et al., 2016) and tropical regions (e.g., Comstock et al., 2002; Cadet et al., 2003; Antuña and Barja, 2006; Seifert et al., 2007; Thorsen et al., 2011; Pandit et al., 2015). Table 1 shows an overview of these studies with different values for cirrus clouds characteristics in diverse geographical regions. There are also some short-term reports on cirrus clouds characteristics during measurement campaigns at midlatitudes (e.g., Immler and Schrems, 2002a) and tropical latitudes (Immler and Schrems, 2002b; Pace et al., 2003, and references therein). Additionally, satellite-based lidar measurements have been used to investigate the global distribution of cirrus characteristics (e.g., Nazaryan et al., 2008; Sassen et al., 2008, 2009; Wang and Dessler, 2012; Jian et al., 2015). Characteristics of tropical and subtropical cirrus clouds have similar geometrical values and they occur at higher altitudes than those at midlatitudes. However, the frequencies of occurrence of cirrus cloud types differ significantly between different locations.

Reports on cirrus cloud measurement over tropical rain forests like in Amazonia are scarce. Just a few global studies with satellite instruments include these regions, and they do not provide information on the diurnal cycle. There are also a few studies focused on deep convection in Amazonia that report cirrus clouds (e.g., Machado et al., 2002; Hong et al., 2005; Wendisch et al., 2016), but no lidar measurements were used. Baars et al. (2012) focused on aerosol observations with a ground-based Raman lidar, and thus report only one cirrus cloud case that was observed between 12 and 16 km height on 11 September 2008 during an 11-month measurement period in 2008. Barbosa et al. (2014) describe a week of cirrus cloud measurements performed from 30 August to 6 September 2011 during an intensive campaign for calibration of the water vapor channel of the UV Raman lidar, which is also used in this study. Cirrus clouds during that period were present in 60% of the measurements. Average base and top heights were 11.5 and 13.4 km, respectively, and average maximum backscatter occurred at 12.8 km. Most of the time, two layers of cirrus clouds were present.

From the above discussion, the importance of continuous and long-term observations of tropical cirrus clouds is evident. In the present study, we use 1 year of ground-based lidar measurements (July 2011 to June 2012) at Manaus, Brazil to investigate the seasonal and daily cycles of geometrical (cloud top and base altitude) and optical (cloud optical depth (COD) and lidar ratio) properties of cirrus over a tropical rain forest site. In Sect. 2, a description of the Raman lidar system, dataset, processing algorithms, and site are given. The results and discussion are presented in Sect. 3. We close this paper with concluding remarks in Sect. 4.

## 2 Instrumentation, dataset, and algorithms

### 2.1 Site and instrument description

The ACONVEX (Aerosols, Clouds, cONVection EXperiment) or T0e (nomenclature of the GoAmazon2014/15 experiment, Martin et al., 2016) site is located up-wind from Manaus-AM, Brazil, at 2.89° S and 59.97° W, in the central part of the Amazon Forest, as shown in the satellite image of Fig. 1. Atmospheric observations at this site began in 2011 with the objective to operate a combination of several instruments for measuring atmospheric humidity, clouds, and aerosols as well as processes which lead to convective precipitation (Barbosa et al., 2014).

As with most tropical continental sites, the diurnal cycle of precipitation is strong with a late afternoon peak (Adams et al., 2013). The precise definition of the climatological seasons varies among authors (e.g., Machado et al., 2004; Arraut et al., 2012; Tanaka et al., 2014); however, deep convection is a characteristic of the region all year. For our site and period

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Salt Lake City, Utah, USA	40.8° N 111.8° W	1986 to 1996	694	8.8	11.2	1.8	-34.4	-53.9	50			Sassen and Campbell (2001)
Haute Provence, France	43.9° N 5.7° E	1997 to 2007	532/1064	9.3	10.7	1.4			38		18.2	Goldfarb et al. (2001) Hoareau et al. (2013)
Thessaloniki, Greece	40.6° N 22.9° E	2000 to 2006	355/532	8.6	11.7	2.7	-38	-65		57	30	Giannakaki et al. (2007)
Seoul, South Korea	37° N, 127° E	2006 to 2009	532/1064	8.8	10.6						20	Kim et al. (2014)
Buenos Aires, Argentina	34.6° S, 58.5° W	2001 to 2005	532	9.6	11.8	2.4		-64.5				Lakkis et al. (2009)
Réunion	21° S, 55° E	1996 to 2001	532	11	14				65	<u> </u>	18.3	Cadet et al. (2003)
Camagüey, Cuba	21.4° N, 77.9° W	1993 to 1998	532	11.6	13.8				25		10	Antuña and Barja (2006)
Gadanki, India	13.5° N, 79.2° E	1998 to 2013	532	13.0	15.3	2.3		-65	52	36	25	Pandit et al. (2015)
Hulule, Maldives	4.1° N, 73.3° E	1999, 2000	532	11.9	13.7	1.8	-50	-65	15	49	32	Seifert et al. (2007)
Mahé, Seychelles	4.4° S, 55.3° E	Feb-Mar 1999	532			0.2–2.0					19	Pace et al. (2003)
Nauru	0.5° S, 166.9° E	Apr-Nov 1999	532	~ 14	$\sim 16$							Comstock et al. (2002)



**Figure 1.** Satellite-based map (Google Earth) showing the location of the lidar site (ACONVEX T0e; 2.89° S 59.97° W), 30 km upwind (north) from downtown Manaus-AM, Brazil.

of study, we considered a wet (January–April), dry (June– September), and transition (March, October–December) season respectively. Convection is more active during the wet season, when the Intertropical Convergence Zone (ITCZ) influences the region. As the ITCZ moves northward during the dry months, convective activity decreases.

The lidar system (LR-102-U-400/HP, manufactured by Raymetrics Advanced Lidar Systems) operates in the ultraviolet (UV) at 355 nm. Three channels detect the elastically backscattered light at 355 nm as well as the Raman-scattered light of nitrogen (387 nm) and water vapor (408 nm), simultaneously in analog and photon-counting modes. The system is tilted by  $5^{\circ}$  from the zenith to avoid specular reflection of horizontally oriented ice crystals (e.g., Westbrook et al., 2010). It is automatically operated 7 days a week, only being closed between 11:00 a.m. and 02:00 p.m. local time (LT is -4 UTC) to avoid the sun crossing the field of view. Detailed information about the lidar system and its characterization are given by Barbosa et al. (2014). To retrieve the particle backscatter and extinction profiles from the lidar signal, the temperature and pressure profiles were obtained from radio soundings launched at 00:00 and 12:00 UTC from the Ponta Pelada Airport, located 28.5 km to the south (3.14° S, 59.98° W) of the experimental site.

### 2.2 Datasets

The lidar dataset used in the present study comprises measurements recorded between July 2011 and June 2012, which were temporally averaged into 5 min profiles (3000 laser shoots at 10 Hz). A total of 36 597 profiles were analyzed corresponding roughly to one-third of the maximum possible number of profiles during 1 year.

For the long-term analysis, winds were obtained from the ERA-Interim reanalysis (Dee et al., 2011) of European Centre for Midrange Weather Forecast (ECMWF) with spatial resolution of 0.75° and temporal resolution of 6 h. The tropopause altitudes were calculated using ERA-Interim temperature profiles interpolated to the measurement time of each cirrus layer observation. We followed the definition of the World Meteorological Organization (IMV WMO, 1996) - i.e., "the lowest level at which the lapse rate decreases to 2°C km<sup>-1</sup> or less, provided that the average lapse rate between this level and all higher levels within 2 km does not exceed  $2 \degree C \text{ km}^{-1}$ ". We further assumed the lapse rate to vary linearly with pressure (McCalla, 1981), and the exact altitude where  $\Gamma = 2 \circ C \text{ km}^{-1}$  (i.e., the tropopause) was found by linearly interpolating between the closest available pressure levels. Precipitation was obtained from TRMM (Tropical Rainfall Measuring Mission) version 7 product 3B42 (Huffman et al., 2007) with 0.25° and 3 h of spatial and temporal resolution, respectively. Backtrajectories were calculated using the HYSPLIT model (Stein et al., 2015) forced by meteorological fields from the US National Oceanic and Atmospheric Administration (NOAA) Global Data Assimilation System (GDAS), available at  $0.5^{\circ}$  resolution.

#### 2.3 Cirrus cloud detection algorithm

We used an automatic algorithm for the detection of the cloud base, the cloud top, and the maximum backscattering heights, based on Barja and Aroche (2001). The algorithm is explained in detail in Barbosa et al. (2014) and is in here only described briefly. Basically, it assumes a monotonically decreasing intensity of the lidar signal with altitude in a clear atmosphere and searches for significant abrupt changes. These abrupt changes are marked as a possible cloud base. Examining the signal noise, each true cloud base is discriminated. Then, the lowest altitude above cloud base with signal lower than that at cloud base and corresponding to a molecular gaseous atmosphere is determined as the cloud top. When more than one layer is present in the same profile, and their top and base are separated more than 500 m, they are considered as individual clouds. Figure S2 in the Supplement gives an example of cloud detection. Barbosa et al. (2014) also provide information on the discrimination of false positives and the distinguishing of aerosols from thin cloud layers. After obtaining the base, top, and maximum backscatter heights, the corresponding cloud boundary temperatures are obtained from the nearest radiosonde. A detected high cloud is classified as a cirrus cloud if the cloud top temperature is lower than  $-37 \,^{\circ}$ C (Sassen and Campbell, 2001; Campbell et al., 2015). These temperatures are typically found at about 10.5 km height over Amazonia.

#### 2.4 Frequency of occurrence and sampling issues

In a simplified manner, the frequency of occurrence would just be the ratio of the number of profiles with cirrus clouds to the total number of profiles. However, while one might be sure when a cirrus cloud was detected in a given profile, there is no certainty of its presence when the profile has a low signal-to-noise ratio or when there is no measurement available. Sampling cirrus clouds with a ground-based profiling instrument can be problematic, particularly for the calculation of the temporal frequency of occurrence, due to the obscuration by lower clouds, or availability of measurements, which might introduce sampling biases (Thorsen et al., 2011).

To avoid these sampling issues, we use an approach similar to the conditional sampling proposed by Thorsen et al. (2011) and Protat et al. (2014). First, we recognize that the presence of cirrus clouds is rather independent of low-level liquid water clouds that can fully attenuate the laser beam, and independent of instrumental issues that might restrict measurement time. Hence, the best estimate of the true frequency of occurrence is the ratio of the number of profiles with cirrus, by the number of profiles where cirrus could have been detected.

These qualifying profiles are identified as follows. The noise in each clear-sky bin follows a Poisson distribution and is evaluated as the square root of the signal. The signal-to-noise ratio (SNR) is defined as the background corrected signal divided by the noise, similar to Heese et al. (2010). Profiles are selected if a clear-sky SNR higher than 1.0 is found at 16 km, for 7.5 m vertical resolution. Note that this is not the SNR of the cirrus cloud ((cirrus – molecular) / noise), which typically ranges from 6 to 36. The threshold was obtained from a performance evaluation of the detection algorithm. Using simulations, we varied cloud thickness (15 m to 4.5 km), cloud backscatter coefficient (1 to  $10 \text{ Mm}^{-1} \text{ sr}^{-1}$ ), and SNR (1 to 50). We found that our algorithm detects 99 %

of cirrus clouds with COD > 0.005. In other words, given typical cirrus cloud optical depths, the threshold used implies a sufficiently high SNR at cloud top for applying the transmittance method (described in Sect. 2.5).

From analysis of the available profiles, 16 025 were found to satisfy these criteria (see Table 2). July, August, and September, the driest months, show the highest fraction of profiles with good SNR, while the wettest months have the lowest fraction of lidar profiles with good SNR (see Fig. S1). To avoid introducing biases from the different sample sizes in different months, the frequency of occurrence for the year is calculated as the average frequency of occurrence for each season. The frequency for each season, in turn, is calculated from the frequency of each month. Finally, the frequency for each month is calculated by averaging over the mean diurnal cycles (i.e., mean of hourly means), because there are more profiles with good SNR during night compared to daytime.

# 2.5 Cloud optical depth, backscattering coefficient, and lidar ratio

Attenuation of the lidar signal by cirrus clouds can be obtained using the ratio of the range-corrected signal at the top and at the cloud base as described in Young (1995):

$$\frac{S(z_{\rm t})}{S(z_{\rm b})} = \frac{\beta(z_{\rm t})}{\beta(z_{\rm b})} e^{-2\int_{z_{\rm b}}^{z_{\rm t}} \alpha_{\rm p}(z')dz'} e^{-2\int_{z_{\rm b}}^{z_{\rm t}} \alpha_{\rm m}(z')dz'},\tag{1}$$

where  $z_b$  and  $z_t$  are the base and top height of a cirrus layer, and  $S(z) = P(z)z^2$  is the range-corrected signal.  $\beta(z)$  and  $\alpha(z)$  are the volumetric backscattering and extinction coefficients, respectively, and each is the sum of a molecular (subscript m) and a particle (subscript p) contribution. Volumetric backscattering and extinction profiles from molecules were derived following Bucholtz (1995). Assuming a negligible aerosol contribution in the atmospheric layers just below and above the cirrus clouds (Young, 1995), we can express the transmittance factor of the lidar equation due to the cirrus layer,  $T^{cirrus}$ , as

$$T^{\text{cirrus}} = e^{-2\int_{z_b}^{z_t} \alpha_p(z') dz'} = \frac{S(z_t)}{S(z_b)} \frac{\beta(z_b)}{\beta(z_t)} e^{2\int_{z_b}^{z_t} \alpha_m(z') dz'}, \qquad (2)$$

and the cirrus optical depth (for an example, see Fig. S2),  $\tau^{\text{cirrus}},$  as

$$\tau^{\text{cirrus}} = \int_{z_b}^{z_t} \alpha_p(z') \, \mathrm{d}z' = -\frac{1}{2} \ln(T^{\text{cirrus}}). \tag{3}$$

The accuracy of this calculation depends mainly on the SNR at the cirrus cloud altitude. However, when the lidar signal is completely attenuated by the cirrus cloud (i.e., the transmission factor approaches zero) it is impossible to obtain the true values of the cirrus top altitude and optical depth. The retrievals, in these cases called apparent values, are necessarily underestimated and were not included in our analysis (see Table 2). **Table 2.** Summary of column-integrated statistics for the total time of observation, as well as for the wet, transition, and dry seasons. Frequency of occurrence is calculated using a conditional sampling to avoid biases (Sect. 2.4). Mean cirrus cloud properties and standard deviation of the sample (in parentheses) are shown. The standard deviations of the mean were calculated and used to determine if seasonal differences (wet–dry) of the mean values are statistically significant to the 95% confidence level (indicated as \*) using a two-sample *t* test. Geometrical properties are not given because most cloud profiles have more than one layer of cirrus. Lidar ratio is calculated as a column average.

	Total	Wet	Transition	Dry
Observation time (%) <sup>a</sup>	37.4	41.5	21.9	48.9
No. prof. measured <sup>b</sup>	36 844	13 828	7423	15 593
No. prof. used in analysis <sup>c</sup>	16 0 25	3458	2099	10468
No. prof. discarded for apparent top <sup>d</sup>	476	223	148	105
Frequency of occurrence $(\%)^*$	73.8	88.1	74.2	59.2
No. prof. w/cirrus	11 252	3145	1706	6397
Frequency of occurrence, opaque $(\%)^*$	22.6	31.3	24.6	11.8
No. prof. w/cirrus, Opaque	3327	1316	610	1401
Frequency of occurrence, thin $(\%)^*$	32.8	37.9	36.5	23.9
No. prof. w/cirrus, thin	4577	1224	798	2555
Frequency of occurrence, SVC (%)*	18.3	18.7	13.0	23.3
No. prof. w/cirrus, SVC	3322	603	296	2423
Cloud optical depth*	0.35 (0.55)	0.47 (0.65)	0.40 (0.57)	0.25 (0.45)
Max. backscatter altitude (km)*	13.4 (2.0)	13.4 (2.2)	13.3 (2.2)	13.6 (1.7)
Temperature max. back. alt. (°C)*	-60 (15)	-60 (16)	-59 (17)	-62 (13)
Lidar ratio (sr) <sup>*e</sup>	23.6 (8.1)	22.8 (8.0)	22.8 (7.8)	24.6 (7.7)
No. of cirrus layers per cloud prof.	1.41 (0.63)	1.62 (0.77)	1.61 (0.67)	1.25 (0.48)

<sup>a</sup> Fraction of observation time to total possible time (21 h day<sup>-1</sup>). <sup>b</sup> Total number of profiles measured – i.e., not screened for low clouds or precipitation. <sup>c</sup> Refers to the number of 5 min profiles with high enough SNR (Sect. 2.4). <sup>d</sup> Number of profiles

with apparent cirrus top, considering only good profiles. <sup>e</sup> All layers in the same profile share the same average lidar ratio (LR).

The backscattering coefficients of cirrus clouds were determined by the Fernald-Klett-Sasano method (Fernald et al., 1972; Klett, 1981; Sasano and Nakane, 1984) for each 5 min averaged profile having cloud and satisfying the conditions discussed in the previous section. For retrieving extinction, the Klett method requires a predetermined value for the layer-mean lidar ratio (LR), which is the ratio between the extinction and backscattering coefficients. Then, integrating the extinction coefficient from the cloud base to cloud top, the cirrus cloud optical depth is obtained ( $\tau_{Klett}^{cirrus}$ ). Following Chen et al. (2002), we estimated the value of LR for every cloud profile by iterating over a range of values of LR and comparing the values of  $\tau_{Klett}^{cirrus}$  with the independent value of the cirrus optical depth obtained from the transmittance method described above ( $\tau^{cirrus}$ ). The cirrus mean lidar ratio is the one that minimizes the residue:  $R(S) = (\tau_{\text{Klett}}^{\text{cirrus}} - \tau^{\text{cirrus}})^2$ . We use the approach of Chen et al. (2002) instead of the Raman method (Ansmann et al., 2002) because our instrument can only detect the Raman scattered light at nitrogen during nighttime as Raman scattering is very weak compared to the elastic scattering. Moreover, the Raman results are very noisy even during nighttime and, by analyzing simulated lidar profiles (not shown), we found that for the given setup of our study (24/7 analysis of 5 min profiles) a more precise and accurate cirrus layer-mean LR can be obtained with the Chen et al. (2002) method.

The Klett method assumes single scattering, but eventually the received photons could have been scattered by other particles multiple times before reaching the telescope. This effect, named multiple scattering, increases the apparent laser transmittance and decreases the corresponding extinction coefficient values. Inversion of uncorrected signals could bias the extinction, and hence the COD and LR, typically by 5-30 % (Thorsen and Fu, 2015). This is particularly important at UV wavelengths, for which a much stronger forward scattering and therefore larger amounts of multiple scattering occur compared to the visible or infrared wavelengths. For this reason, we refrain from applying empirical correction formulas (e.g., Eq. 10 in Chen et al., 2002), and instead perform a full treatment of multiple scattering following the model of Hogan (2008). The correction is found iteratively, similar to Seifert et al. (2007) and Kienast-Sjögren et al. (2016). The forward model is initialized with the originally retrieved, uncorrected extinction profile, and the model output is used to correct the extinction profile iteratively, until it converges. In our case, we assumed the effective radius of ice crystals to vary with temperature according to a climatology of aircraft measurements of tropical cirrus data (Krämer et al., 2016a, b), which includes the recent ACRIDICON field campaign with the German aircraft HALO in the Amazon region (Wendisch et al., 2016). The full treatment corrects the retrieved LR by about 40 %, from  $16.8 \pm 5.8$  sr (uncorrected)



**Figure 2.** Monthly frequency of occurrence of cirrus clouds from July 2011 to June 2012 (blue line) with the associated statistical error (black). Accumulated (light green) and climatological (dark green) rainfall, shown on the right axis, were obtained from the TRMM 3B42 version 7 dataset averaged over an area of  $10^{\circ} \times 10^{\circ}$ .

to  $23.6 \pm 8.1$  sr, while Chen's approach would only correct it to  $20.2 \pm 7.0$  sr. In the following sections, all cirrus optical properties (lidar ratio, extinction coefficient, and optical depth) derived in the frame of this study were corrected for multiple scattering.

#### 3 Results and discussion

#### 3.1 Frequency of cirrus cloud occurrence

A total of 11 252 lidar profiles were recorded with the presence of cirrus clouds, yielding an average temporal frequency of cirrus cloud occurrence of 73.8% from July 2011 to June 2012. Figure 2 shows the monthly frequency of cirrus cloud occurrence, with statistical error, and precipitation in central Amazonia. There is a well-defined seasonal cycle, with maximum values from November to April, reaching 88.1 % during the wet season, and a minimum value in August during the dry season (59.2%), but with frequencies not lower than 50 % (see Table 2). Moreover, the mean monthly cirrus cloud frequency follows the same seasonal cycle as accumulated precipitation, which responds to the seasonal changes of the ITCZ, and is higher from January to April and lower from June to September (Machado et al., 2002, 2014). Mean cirrus frequencies during the wet months are higher by a statistically significant amount than during dry months (notice the small standard deviation of the mean despite the high variability). This result and the lack of the other possible formation mechanisms proposed in the literature (Sassen, 2002) suggest that deep convection is the main formation mechanism for cirrus clouds in central Amazonia. Deep convective clouds generate cirrus clouds when winds in the upper troposphere remove ice crystals of the top of the large convective column, generating anvil clouds. Anvil clouds remain even after the deep convective cloud dissipates and persists from 0.5 to 3.0 days (Seifert et al., 2007).

To further investigate the role of deep convection as the main local formation mechanism, the high-altitude circulation and spatial distribution of precipitation were studied. The mean wind field at 150 hPa, approximately the mean cirrus top-cloud altitude (14.3 km; see Table 3), and accumulated precipitation are shown in Fig. 3. The study period was divided into wet (January, February, March, and April), dry (June, July, August, and September) and transition (May, October, November, and December) periods, based on accumulated precipitation. During the wet months, the South American monsoon is prevalent, and associated rain amounts range from 8 to  $14 \text{ mm day}^{-1}$ , with monthly totals of about 300 mm. Winds at 150 hPa blow from the southeast at about  $6 \,\mathrm{m}\,\mathrm{s}^{-1}$ . During the dry period, convective activity moved to the north toward Colombia and Venezuela and the 150 hPa air flow is from the west, also at about  $6 \text{ m s}^{-1}$ , thus allowing cirrus clouds to be advected by 520 km or  $4.5^{\circ} \text{ day}^{-1}$ . As previous studies reported that tropical cirrus could be transported by thousands of kilometers (e.g., Fortuin et al., 2007), 24 h backtrajectories were calculated to investigate the possible origin of the observed clouds. These are shown in the right panels of Fig. 3, where one trajectory was calculated for each cirrus layer detected, with the arrival height set to the height of top of the cirrus layer. Most of the trajectories are directed to the regions of maximum accumulated precip-

**Table 3.** Summary of layer statistics for the total time of observation, as well as for the wet, transition, and dry seasons. Mean cirrus cloud properties and standard deviation of the sample (in parentheses) are shown. The standard deviations of the mean were calculated and used to determine if seasonal differences (wet–dry) are statistically significant to the 95% confidence level (indicated as \*) using a two-sample *t* test. Lidar ratio is calculated as a column average.

	Total	Wet	Transition	Dry
All layers				
No. of cirrus layers	15 824	5096	2739	7989
Base altitude (km)*	12.9 (2.2)	12.8 (2.4)	12.6 (2.3)	13.0 (1.9)
Top altitude (km)	14.3 (1.9)	14.3 (2.0)	14.1 (2.0)	14.3 (1.6)
Thickness (km)*	1.4 (1.1)	1.5 (1.2)	1.5 (1.1)	1.3 (1.0)
Cloud optical depth*	0.25 (0.46)	0.30 (0.52)	0.26 (0.47)	0.20 (0.40)
Max. backscatter altitude (km)	13.6 (2.0)	13.7 (2.3)	13.5 (2.2)	13.6 (1.8)
Lidar ratio (sr)*	23.3 (8.0)	22.6 (8.1)	22.8 (7.9)	24.4 (7.9)
Relative freq. opaque cirrus $(\%)^*$	20.5	25.2	21.0	17.4
Relative freq. thin cirrus (%)	37.8	37.0	43.2	36.5
Relative freq. SVC (%)*	41.6	37.8	35.8	46.0
Base above the tropopause $(\%)^*$	5.9	6.9	5.5	5.3
Top above the tropopause $(\%)^*$	15.7	18.7	16.1	12.9
Opaque layers				
No. of opaque layers	3251	1283	574	1394
Base altitude (km)*	10.7 (1.5)	10.6 (1.6)	10.4 (1.5)	10.8 (1.2)
Top altitude (km)	13.4 (1.6)	13.5 (1.7)	13.1 (1.6)	13.6 (1.4)
Thickness (km)*	2.76 (1.02)	2.84 (1.07)	2.65 (1.04)	2.73 (0.94)
Cloud optical depth*	0.93 (0.64)	1.00 (0.66)	0.90 (0.66)	0.86 (0.59)
Max. backscatter altitude (km)	12.0 (1.7)	12.1 (1.9)	11.6 (1.7)	12.1 (1.5)
Lidar ratio (sr)*	25.7 (6.3)	26.0 (6.7)	25.8 (6.6)	25.3 (5.7)
Thin layers				
No. of thin layers	5985	1888	1183	2914
Base altitude (km)*	12.9 (1.7)	13.1 (1.9)	12.9 (1.8)	12.8 (1.4)
Top altitude (km)*	14.4 (1.7)	14.6 (2.0)	14.4 (1.8)	14.3 (1.4)
Thickness (km)*	1.46 (0.78)	1.42 (0.82)	1.49 (0.78)	1.47 (0.74)
Cloud optical depth	0.12 (0.07)	0.12 (0.07)	0.12 (0.07)	0.11 (0.07)
Max. backscatter altitude (km)*	13.7 (1.7)	13.9 (1.9)	13.7 (1.9)	13.5 (1.5)
Lidar ratio (sr)*	22.8 (7.9)	21.8 (7.7)	21.6 (7.4)	24.3 (8.1)
SVC layers				
No. of SVC layers	6581	1924	980	3677
Base altitude (km)*	14.4 (1.9)	14.7 (2.1)	14.4 (2.1)	14.2 (1.6)
Top altitude (km)*	14.9 (1.9)	15.2 (2.1)	15.0 (2.1)	14.7 (1.6)
Thickness (km)	0.51 (0.37)	0.50 (0.38)	0.53 (0.38)	0.51 (0.36)
Cloud optical depth	0.011 (0.008)	0.011 (0.008)	0.012 (0.009)	0.011 (0.008)
Max. backscatter altitude (km)*	14.6 (1.9)	14.9 (2.1)	14.7 (2.1)	14.4 (1.6)
Lidar ratio (sr)*	21.6 (8.4)	19.9 (7.6)	21.5 (8.1)	23.5 (9.0)

itation (left panel), which are much closer to the site during the wet ( $\sim 5^{\circ}$ ) than dry ( $\sim 10^{\circ}$ ) season. This gives further evidence that cirrus clouds observed in central Amazonia are likeliest detrained anvils from tropical deep convection.

The backward trajectories also reveal that the high-altitude circulation is quite variable. Indeed, many backward trajectories do not follow the average wind pattern and seem to point in the opposite direction of precipitation, particularly during the dry season. One should note, however, that central Amazonia still receives about  $100 \text{ mm month}^{-1}$  of precipitation in the dry season (reddish colors around the site, Fig. 3) and most of it comes from mesoscale convective systems (Machado et al., 2004; Burleyson et al., 2016). Hence, during the dry season, there is a mixture of locally produced and long-range transported cirrus, in contrast to the wet season when there is always nearby convection.

The diurnal cycle of cirrus cloud frequency, shown in Fig. 4, also has a close relation with the convective cycle.



**Figure 3.** Panels (**a**, **b**) show mean precipitation (colors, mm month<sup>-1</sup>) from the TRMM 3B42 version 7 and mean wind field (vectors,  $m s^{-1}$ ) at 150 hPa (~ 14.3 km) from ECMWF ERA-Interim reanalysis. Panels (**c**, **d**) show 24 h backtrajectories of air masses arriving at the site at the time and altitude that cirrus layers were detected. Results are shown separately for the dry (JJAS, **a**, **c**) and wet months (JFMA, **b**, **d**). Backward trajectories were computed using HYSPLIT model with 0.5° resolution winds from GDAS/NOAA. The experimental site location is indicated in all panels with a circle.

The frequency of occurrence, for the overall period or any season, exhibits a minimum between 10:00 and 14:00 local time (LT). Maximum values are found between 17:00 and 18:00 LT, in the late afternoon, when values are slightly higher than in the morning. This diurnal variation follows the diurnal cycle of convection documented in the literature (e.g., Machado et al., 2002; Silva et al., 2011; Adams et al., 2013), as also shown in Fig. 4 as the diurnal cycle of precipitation averaged over an area of  $2^{\circ} \times 2^{\circ}$  centered on the experimental site. Maximum precipitation occurs between 13:00 and 18:00 LT, during both the dry and the wet seasons, which coincides with the increase in cirrus frequency. In Fig. 4, a smaller amplitude in cirrus frequency during the wet season versus the dry season months is seen. This can be reconciled by analyzing the maximum precipitation rates and the upperaltitude circulation (see Fig. 3). When the frequency of deep convection is greater (3 times more in the wet season) and closer to the site ( $\sim 5^{\circ}$  in the wet and  $\sim 10^{\circ}$  in the dry), the cirrus clouds, which are long-lived, presumably get more evenly distributed during the day.

To verify that the lower cirrus cloud cover around noon was not related to a decrease in SNR and, hence, a decrease in detection efficiency, we analyzed the frequency of occurrence for different cirrus types (following Sassen and Cho, 1992). Opaque (COD > 0.3), thin (0.3 > COD > 0.03), and

sub-visual cirrus (SVC) clouds (COD < 0.03) were considered. Their diurnal variation is also shown in Fig. 4. The frequency of occurrence of opaque cirrus has the larger amplitude, during both dry and wet seasons. During the dry (wet) season, it increases from less than 5 (20) to about 30 % (50%) in the hours following the precipitation maximum, 15:00 to 19:00 LT. The second larger diurnal variation corresponds to the occurrence frequency of thin cirrus, which decreases after the sunrise from 30 (50) to 20 % (30 %) during the dry (wet) season, and increase again during nighttime, when the opaque cirrus clouds are dissipating. The SVC, whose detection could be biased by lower SNR, do not show a clear diurnal cycle. Hence, the diurnal cycle of the frequency of occurrence of cirrus clouds in central Amazonia is likely a result of the diurnal cycles of opaque and thin cirrus, which have a sufficiently high COD to not be missed by the detection algorithm.

# **3.2** Geometrical, optical, and microphysical properties of cirrus clouds

Table 2 shows column-integrated statistics of the properties of cirrus clouds during the 1-year observational period, also distinguished by season. Column-integrated COD varies from  $0.25 \pm 0.45$  in the dry season to  $0.47 \pm 0.65$  in the wet



**Figure 4.** Panel (a) shows the daily cycles of the hourly frequency of occurrence of cirrus clouds for the annual, wet, transition, and dry periods. The same is shown for SVC, thin, and opaque cirrus clouds during the dry (b) and wet (d) seasons. Mean observed precipitation rate  $(mm h^{-1})$  from TRMM version 7 over an area of  $2^{\circ} \times 2^{\circ}$  centered on the site, for the dry and wet periods, is given in (c).

season. The frequency of occurrence of opaque, thin, and SVC column-integrated COD is 11.8 (31.3), 23.9 (37.9), and 23.3 % (18.3 %) respectively in the dry (wet) season. The maximum backscattering altitude does not show a seasonal cycle, and is on average  $13.4 \pm 2.0$  km (or  $-60 \pm 15$  °C). The average number of simultaneous layers of cirrus present in each cloudy profile is 1.4 (1.25 during the dry, and 1.62 during the wet season), and hence geometrical properties, in a column-integrated sense, are not discussed.

As cirrus at different altitudes might have different origins or microphysical properties, it is more important to analyze the statistics based on each layer detected, as shown in Table 3. The overall mean value for the cloud layer base altitude is  $12.9 \pm 2.2$  km, for the cloud layer top altitude,  $14.3 \pm 1.9$  km, and for the cloud layer geometrical thickness,  $1.4 \pm 1.1$  km. The mean value of the cloud layer maximum backscattering altitude is  $13.6 \pm 2.0$  km. The differences between the mean values of the geometrical properties in the dry and wet seasons are not statistically significant, except for the thickness, which changes from 1.3 to 1.5 km, respectively. These values are similar to those reported by Seifert et al. (2007) for the Maldives  $(4.1^{\circ} \text{ N}, 73.3^{\circ} \text{ E})$ :  $11.9 \pm 1.6 \text{ km}$  (base),  $13.7 \pm 1.4 \text{ km}$  (top),  $1.8 \pm 1.0$  km (thickness),  $12.8 \pm 1.4$  km (max. backscatter), and  $-58 \pm 11$  °C (temperature at max. backscatter). Reports from subtropical regions also show similar values. Cadet et al. (2003) report for the Réunion (21° S, 55° E) cirrus cloud base and top altitudes of 11 and 14 km, respectively. Antuña and Barja (2006) report for a subtropical experimental site (Camagüey, Cuba; 21.4° N, 77.9° W) cirrus cloud base and top altitudes of 11.63 and 13.77 km, respectively. On the other hand, Sassen and Campbell (2001) show mean values for midlatitude cirrus cloud base and top of 8.79 and 11.2 km, respectively, which is lower than for tropical cirrus, and an average geometrical thickness of 1.81 km. Some cirrus cloud characteristics reported around the globe are shown in Table 1 for comparison.

The geometrical characteristics of the detected cirrus clouds were further examined by means of normalized histograms. Figure 5 shows the results for cloud base and top height, thickness, and cloud optical depth. Histograms for the wet and dry season months reveal differences. The cloud base distribution (Fig. 5a) is wider during the wet season. There are relatively more cirrus layers with cloud base below 12 km and above 16.5 km during the wet than during the dry season. Particularly, there is a peak centered at 16.5 km during wet months, which does not exist during the dry season months. The distribution of geometrical thickness (Fig. 5b) shows more cirrus layers thicker than 2 km (and less thinner than that) in the wet season. The normalized histogram of COD (Fig. 5d) shows relatively more cirrus layers with COD > 0.1in the wet season, and more with COD < 0.1 in the dry season. The largest differences, however, are seen in the cirrus cloud top altitude distribution (Fig. 5c). It shows two peaks in the wet months, one centered at 14.25 km and second centered at 17.75 km. On the other hand, for dry months, there is only one peak centered at 15.75 km. These differences suggest different cirrus types with different origins.



Figure 5. Panels show the normalized histograms of (a) cirrus cloud base, (b) cloud geometrical thickness, (c) cirrus cloud top, and (d) optical depth, for the overall period (black), wet season (JFMA, blue), and dry season (JJAS, red). Error bars indicate the counting statistics uncertainty.

Comstock et al. (2002) proposed two different types of cirrus clouds at Nauru in the tropical western Pacific with oceanic conditions: one type (laminar thin cirrus) with cloud base altitudes above 15 km and the other (geometrically thicker and more structured cirrus) with base altitudes below this height, with different characteristics. Liu and Zipser (2005) used the TRMM precipitation radar (PR) dataset to trace the deep convection and precipitation throughout the tropical zone, including oceans and continents. The authors showed that only 1.38 and 0.1 % of tropical convective systems, and consequently their generated cirrus clouds, reached 14 and 16.8 km of altitude, respectively.

Considering these previous results, we suggest that the highest peak in wet months in cloud top distribution originates from convection penetrating the tropopause, located at about 15.9–16.5 km, while the lowest peak is the ceiling of most tropical convection. The single peak observed during the dry months, in turn, originates from cirrus clouds transported by large distances. Clouds generated by convective systems can persist in the atmosphere from hours to days if they are slowly lifted (Ackerman et al., 1988; Seifert et al., 2007). Clouds that ascended and are horizontally transported by long distances are, in general, optically and geometrically thinner and found at higher altitudes in the troposphere. This also explains why the geometrical thicknesses and optical depth are lower during the dry season months.

To investigate whether the higher cirrus layers were indeed geometrically and optically thinner, a more in-depth analysis of the vertical distribution was performed. Figure 6 shows two-dimensional histograms of cloud optical depth and cirrus occurrence vertical distribution for the wet season months (top) and dry season months (bottom). The right panels show the vertical distribution of the frequency of occurrence for the three cirrus categories. During the wet months, there is more dispersion (wider range of COD for a fixed altitude, and vice versa) than in the dry months, which we speculate might be associated with the well-documented variability in the intensity of deep convection in Amazonia (Machado et al., 2002; Adams et al., 2009, 2013, 2015). Indeed, it is only during the wet season that a significant fraction of cirrus is found above 16 km height, and they have a COD ranging from 0.001 to 0.02. Moreover, while the distribution of opaque cirrus peaks at 12 km height in both seasons, thin cirrus and SVC shows a bimodal distribution only in the wet season, with the highest maxima above 14 and 16 km respectively. This is presumably associated with the overshooting convection discussed above, which occurs mostly during the wet season (Liu and Zipser, 2005). Moreover, ice detrainment directly into the tropical tropopause layer (TTL) is one of the main mechanisms of TTL cirrus formation; the other is in situ formation by supersaturation promoted by mesoscale uplift (Cziczo et al., 2013), which can occur above tropical convective systems (Garret et al., 2004), a very common feature of the Amazon hydrological cycle.

To investigate the role of the tropopause capping on the cirrus vertical development, its altitude was calculated from



Figure 6. Two-dimensional histograms of cirrus frequency of occurrence with altitude as a function of optical depth during the wet (top) and dry (bottom) season months are shown on the left. The same is shown on the right but integrated for SVC, thin, and opaque cirrus cloud optical depths.

the ERA-Interim dataset for the observation time of each cirrus profile (see Sect. 2.2 and Fig. S3a and b). The tropopause mean altitudes during the wet, transition, and dry periods are  $16.5 \pm 0.2$ ,  $16.3 \pm 0.3$ , and  $15.9 \pm 0.4$  km, respectively. Therefore, a non-negligible fraction of the observed cirrus during the wet and dry seasons (Fig. 6) occurred likely above the tropopause. Figure 7 shows the distribution of the distance from the cloud top and bottom to the tropopause. About 7% (19%) of the detected cirrus clouds have their cloud base (top) above the tropopause during the wet season, and 5%(13%) during the dry season. Most of the cirrus cloud tops are found right below the tropopause inversion, except during the wet season when they are uniformly distributed from -2to +0.5 km, which is associated with the variability in deep convection intensity as discussed above. During the dry season, on the other hand, deep convection overshooting occurs primarily north of the Equator (Fig. 2 from Liu and Zipser, 2005). These cirrus that form around the tropopause cannot last for a long time (typically less than a day; Jensen et al., 1996), as they cannot be lifted above the tropopause inversion. Therefore, they cannot be transported over long distances and do not reach the measurement site, hence there is only one maximum near 15 km in the distribution of cloud tops, which is just below the tropopause.

The classification of cirrus clouds following Sassen and Cho (1992) shows that 41.6 % of the cirrus clouds measured in our experimental site are subvisible ( $\tau < 0.03$ ), 37.8 %

are thin cirrus  $(0.03 < \tau < 0.3)$  and 20.5 % are opaque cirrus  $(\tau > 0.3)$ . Table 3 shows these values for each season. SVC clouds have the highest (lower) fraction during dry (wet) months. Opaque clouds have the highest (lowest) fraction during wet (dry) months, which is expected, as there is a predominance of newly generated clouds by deep convection.

This large fraction of optically thin and subvisible cirrus clouds over Amazonia present a challenge for using passive remote sensing from space, such as MODIS. As mentioned by Ackerman et al. (2010), thin cirrus clouds are difficult to detect because of insufficient contrast with the surface radiance. MODIS only detects cirrus with optical depth typically higher than 0.2 (Ackerman et al., 2008). Therefore, the MODIS's cloud-mask does not include 71 % of cirrus clouds over Amazonia, and likewise, their estimation of aerosol optical depth might be contaminated with these thin cirrus. Aerosol optical depth measurements from AERONET can also be contaminated with thin cirrus clouds. Chew et al. (2011), for instance, estimated that the fraction of contaminated measurements of AERONET aerosol optical depth in Singapore (1.5° N, 103.7° E) is about 0.034 to 0.060. The determination of the actual contamination of MODIS and AERONET aerosol products for Amazonia by thin cirrus will be the subject of a forthcoming study.

The different types of cirrus clouds measured in central Amazonia, with different formation mechanisms, optical depths, and altitude ranges are expect to be composed of ice



**Figure 7.** Normalized histograms of the distance of the tropopause to the cirrus base and top are shown for overall period (black) and each season (colors). Negative values mean that clouds are below tropopause. The average tropopause altitude was  $16.2 \pm 0.4$  km.

crystals of different shapes. One way to gain information on the crystal habits is to compute the lidar ratio (Sassen et al., 1989). As explained in Sect. 2, we are able to estimate the average lidar ratio for the detected cirrus cloud layers in each profile using an interactive approach instead of explicitly calculating the extinction from the Raman signal, which would be available only during nighttime.

Average values are given in Table 3 for all cirrus, and for each category. A mean value of  $23.9 \pm 8.0$  (SD) sr was obtained for the whole period and the variation is less than 1.5 sr for the different seasons (i.e., it does not show a seasonal cycle). For opaque, thin and SVC the means are  $25.7 \pm 6.3$ ,  $22.8 \pm 7.9$ , and  $21.6 \pm 8.4$  sr, respectively. Pace et al. (2003) found a mean value of lidar ratio of 19.6 sr for the tropical site of Mahé, Seychelles. Seifert et al. (2007), also for tropical regions, report values close to 32 sr. Platt and Diley (1984) reported the value of 18.2 sr with an error of 20 %. For the other latitudes, examples are given in Table 1. We note, however, that the lidar ratio may vary greatly depending on the altitude and composition of cirrus clouds (Goldfarb et al., 2001), but also on the correction for multiple scattering (Platt, 1981; Hogan, 2008). The latter depends on the ice crystals effective radius, and the associated uncertainty can range from 20 to 60 % (Wandinger, 1998).

Although the mean LR for all seasons and categories are similar, their statistical distribution might yet reveal differ-



**Figure 8.** Normalized histograms of the lidar ratio, already corrected for multiple scattering, for the different seasons (**a**) and for SVC, thin, and opaque cirrus (**b**) are shown. Error bars indicate the counting statistics uncertainty.

ences. Figure 8 shows the histograms of lidar ratio corrected for multiple-scattering for the different seasons (top) and for the different categories (bottom). For all seasons, the most frequent lidar ratios are between 18 and 28 sr. There are notable differences only for different cirrus categories. The opaque cirrus distribution has a peak at 25 sr, while thin cirrus has its peak at about 21 sr, and SVC at about 15 sr, with a secondary peak at 44 sr.

As cirrus microphysical properties are expected to depend on altitude (e.g., Goldfarb et al., 2001), we examine the dependence of the lidar ratios with the cirrus cloud top temperature (Fig. 9). The plots show the mean, the median, and the interquartile distance. A slight increase in the lidar ratio values from 20 to 28 sr for a decrease in temperature from -40to -55 °C can be noticed during the dry period. During the wet period, the lidar ratio values are between 18 and 28 sr in all temperature intervals. Seifert et al. (2007) and Pace et al. (2003) both show the same temperature dependence of the lidar ratio, but with different mean values of the lidar ratio. This behavior is an indication of a slight variation in the microphysical characteristics of the observed clouds.

#### 4 Conclusions

One year of ground-based lidar measurements collected between July 2011 and June 2012 were used to investigate the



**Figure 9.** Dependence of the corrected lidar ratio with cloud-top temperature is shown for the wet (blue) and dry (red) seasons. The markers give mean and standard deviation of the mean. The continuous and dashed lines give median and interquartile distance. Temperature is divided into 2.5 °C intervals.

geometrical and optical properties of cirrus clouds in central Amazonia. An algorithm was developed to search through this dataset with high vertical and temporal resolution and to automatically find clouds, calculate particle backscatter, and derive optical depth and lidar ratio. The frequency of cirrus cloud occurrence during the observation period was 73.8 %, which is higher than reported previously in the literature for other tropical regions. Cirrus frequency reached 88.1 % during the wet months (January, February, March, and April), but decreased to 59.2 % during the dry months (June, July, August, and September). Analysis of high-level circulation and precipitation during the wet months indicate that nearby deep convection was likely the main source of these cirrus, whilst during the dry period, there was a mixture of locally produced and transported clouds. Moreover, we found that the diurnal cycle of the frequency of occurrence of opaque and thin cirrus shows a minimum around 12:00 LT and a maximum around 18:00 LT, following the diurnal cycle of the precipitation for both seasons.

The geometrical and optical characteristics of cirrus clouds measured in the present study were consistent with other reports from tropical regions. The mean values were  $12.9 \pm 2.2$  km (base),  $14.3 \pm 1.9$  km (top),  $1.4 \pm 1.1$  km (thickness), and  $0.25 \pm 0.46$  (optical depth). Cirrus clouds were found at temperatures down to -90 °C and maximum backscatter altitude was  $13.6 \pm 2.0$  km.

By simultaneously analyzing cloud altitude and COD, it was found that cirrus clouds observed during the dry season months are optically thinner and lower in altitude than those during the wet period. The vertical distribution of frequency of occurrence is mono-modal, and 13 % of the observed cirrus had top within the TTL. During the wet season months, there is a wider range of COD for a fixed altitude, and vice versa, which is associated with the variability in the intensity of deep convection in Amazonia. The vertical distribution of the frequency of occurrence of the detected clouds shows a bimodal distribution for thin and SVC, and 19% of the observed cirrus had top within the TTL, which are likely associated to slow mesoscale uplifting or to the remnants of overshooting convection.

For the first time, the lidar ratio of cirrus clouds was obtained for the Amazon region. The mean lidar ratio, corrected for multiple scattering, was  $23.6 \pm 8.1$  sr, in agreement with other reports from the tropical regions. The statistical distribution of lidar ratios measured during the different seasons is the same, and they also do not vary with temperature (altitude) of the clouds, indicating that these are well mixed vertically. It was observed, however, that the distributions of the lidar ratio for different cirrus categories are quite different. They are more skewed towards lower lidar ratios for smaller COD. From all cirrus clouds observed, 41.6 % were classified as subvisible (COD < 0.03), 37.8 % as thin (0.03 < COD < 0.3) and 20.5 % as opaque (COD > 0.3). During the dry months, subvisible cirrus clouds reached a maximum frequency of occurrence of 46%, while opaque cirrus have their maximum during the wet season months (25.2%). These values are characteristic for the region under study and somewhat different from other tropical regions. Thus, central Amazonia has a high frequency of cirrus clouds in general, and a large fraction of subvisible cirrus clouds. Therefore, the aerosol optical depth determined by Sun photometers and satellite-based sensors in this region might be contaminated by the presence of these thin clouds. Future work must be conducted in order to evaluate how large this contamination might be over Amazonia.

*Data availability.* For access to the lidar dataset used in this study, please contact the corresponding author. Other used datasets are publicly available online: ERA-Interim reanalysis data from the ECMWF webpage (http://apps.ecmwf.int/datasets/ data/interim-full-daily/levtype=sfc/) and TRMM version 7 product 3B42 from the PMM webpage (https://pmm.nasa.gov/data-access/ downloads/trmm).

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